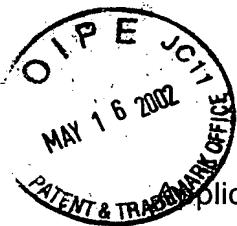


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#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Examiner: N/A

Thomas R. Ricono
Michael Lee Parrish
Phillip Bruce Daley, Jr.

Application No.:

60/293,471

Group Art Unit: N/A

Filed: May 24, 2001

For: PENCIL
SHARPENER

Attorney Docket No.: P24,624-A USA

**REQUEST FOR CONVERSION OF PROVISIONAL APPLICATION
TO NON-PROVISIONAL APPLICATION - 37 C.F.R. §1.53(c)(3)**

Sir:

Please convert the above-identified provisional application (filed on May 24, 2001, titled Pencil Sharpener, U.S. Application No. 60/293,471) to a non-provisional application under 37 C.F.R. §1.53(c)(3). This request is being made prior to the earlier of the abandonment or expiration of twelve months after the filing date of the provisional application.

05/22/2002 SLUANG1 00000001 60293471
01 FC:101 740.00 OP
02 FC:103 54.00 OP
03 FC:105 130.00 OP

Enclosed herewith are the following:

- a. A Preliminary Amendment deleting the claims filed with the provisional application and adding new claims;
- b. A Substitute Specification including amendments to the specification of the provisional application;
- c. A Marked-Up Copy of the Substitute Specification showing additions and deletions to the provisional application as filed;

05/22/2002 SLUANG1 00000001 60293471
1 04 FC:102 130.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



| | |
|--------------------------|------------------------------------|
| application of: | Group Art Unit: N/A |
| Thomas R. Ricono | |
| Michael Lee Parrish | |
| Phillip Bruce Daley, Jr. | |
| application No.: | |
| 60/293,471 | Examiner: N/A |
| Filed: | |
| May 24, 2001 | |
| For: | |
| PENCIL | |
| SHARPENER | Attorney Docket No.: P24,624-A USA |

PRELIMINARY AMENDMENT

Sir:

This Preliminary Amendment is being submitted along with a Request for Conversion of a Provisional Application to a Non-Provisional Application.

AMENDMENT

IN THE SPECIFICATION

A Substitute Specification is included herewith. Also included is a Marked Up Copy of the Substitute Specification.

IN THE DRAWINGS

Kindly delete Figures 7-10 in the provisional application as originally filed.

Formal drawings (Figures 1-6) are submitted herewith. New Figure 6 is a perspective view and is fully supported by original Figure 6 and deleted Figures 7-10.

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- d. Formal Drawings (7 sheets);
- e. A Declaration (executed in two counterparts), including an Added Page for Signing by Legal Representative On Behalf of Deceased Inventor;
- f. Affidavit for Collection of Personal Property of Decedent establishing Sallie S. Daley as Legal Representative for deceased inventor Phillip Bruce Daley, Jr.; and
- g. An Assignment and Assignment Recordation Cover Sheet

| CLAIMS AS FILED | | | | |
|--------------------------------------------|------------------|------------------|--------------|---------------------|
| | No. Filed | No. Extra | Rate | Calculations |
| Total Claims | 23-20 = | 3 | \$ 18 | \$ 54.00 |
| Independent Claims | 3-3 = | 0 | \$ 84 | \$ 0.00 |
| Multiple Dependent Claim(s), if applicable | | | \$ = | \$ |
| Basic Filing Fee | | | | \$ 740.00 |
| | | | Total | \$ 794.00 |
| | | | Fee: | |

FEE PAYMENT BEING MADE AT THIS TIME

- Filing Fee \$ 794.00.
- Fee for conversion of a provisional application to a non-provisional application set forth in 37 C.F.R. §1.17(i) \$130.00.
- Surcharge for filing the basic filing fee or oath or declaration on a date later than the filing date of the application set forth in 37 C.F.R. § 1.16(e) \$130.00.

A check in the amount of \$ 1,054.00 to cover the fees associated with

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Docket No. P24,624-A USA

conversion from a provisional to a non-provisional application and filing fees is enclosed herewith.

The Commissioner is hereby authorized to charge any deficiency or credit any overpayment associated with the accompanying Petition to Deposit Account No. 19-

5425. A duplicate copy of this letter is attached for charging purposes.

Respectfully submitted,

May 16, 2002
Date



Gregory S. Bernabeo
Reg. No. 44,032

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Reg. No. 36,826

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Attorney for Applicants

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